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| UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)</small> | Attorney Docket No. | UF-221C1XC1 |
| | First Inventor or Application Identifier | Moyer, Richard W. |
| | Title | Materials and Methods For Delivery And Expression of Heterologous ... Cells |
| | Express Mail Label No. | EK841464075US |

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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification [Total Pages 92] <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10] <small>(two additional sets)</small> 4. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) unsigned b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small> | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies |
| ACCOMPANYING APPLICATION PARTS | |
| 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 37.73(b) Statement of Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) Status filed in prior application (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Petition under 37 CFR 1.84(2) w/3 sets of color figures Claims benefit to USSN 60/224,479 filed 8/10/2000 | |
| 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No. 09 / 086,651 Prior application information: Examiner Beckerleg Group / Art Unit: 1632 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | |

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| 17. CORRESPONDENCE ADDRESS | | | |
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|-------------------|----------------------|-----------------------------------|---------|
| Name (Print/Type) | David R. Saliwanchik | Registration No. (Attorney/Agent) | 31,794 |
| Signature | David Saliwanchik | Date | 9-14-00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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DOCKET NO. UF-221C1XC1

FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Richard W. Moyer, Yi Li, Alison L. Bawden

Entitled: Materials And Methods For Delivery And Expression Of Heterologous DNA In Vertebrate Cells

- ☒ A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.
- ☒ The filing fee is calculated below:

| CLAIMS AS FILED | | | | | |
|---|--------------|--------|--------------|--------|-----------|
| | Number filed | | Number Extra | Rate | Fee |
| Basic Fee | | | | | \$ 345.00 |
| Total Claims | 75 | – 20 = | 55 | x \$09 | 495.00 |
| Independent Claims | 7 | – 3 = | 4 | x \$39 | 156.00 |
| Presentation of Multiple Dependent Claim(s) (\$165) | | | | | 0 |
| Total Filing Fee | | | | | \$996.00 |

- ☒ Please charge \$996.00 to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- ☒ This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

Sept. 14, 2000
Date

David Soliwanchik
Attorney of Record

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: EK841464075US Date of Deposit: September 14, 2000

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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